



In re Application of:

David W. CANNELL et al.

Group Art Unit: 1751

Application No.: 09/838,197

Examiner: E. Elhilo

Filed: April 20, 2001

For: COMPOSITION AND METHODS
FOR LANTHIONIZING KERATIN
FIBERS USING AT LEAST ONE
ORGANIC NUCLEOPHILE AND
AT LEAST ONE HYDROXIDE
ION GENERATOR

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Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a final Office Action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Certification: Each document listed in this Information Disclosure Statement was

first cited in a communication from the PCT Office in a counterpart foreign application,

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and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

Copies of the listed documents, including any co-pending patent applications, are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

With respect to the non-English language documents, Applicant submits the following remarks:

EP 0465342 A1 - This document is believed to be related to U.S. Patent No. 5154918, submitted herewith.

EP 0512879 A2 - This document is believed to be related to U.S. Patent No. 5628991, submitted herewith..

WO 87/05500 - This document is believed to be related to U.S. Patent No. 4859459, submitted herewith..

EP 0667141 A2 - An English language abstract of this foreign language document can be found on the title page of this document.

EP 0714654 A1 - This document is believed to be related to U.S. Patent No. 5637295, submitted herewith.

EP 0726251 A1 - This document is believed to be related to U.S. Patent No. 5679332, submitted herewith.

WO 93/01791 - An English language abstract of this foreign language document can be found on the title page of this document.

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EP 0354835 A1 - This document is believed to be related to U.S. Patent No. 4956175, submitted herewith.

DE 4326974 A1 - An abstract of the disclosure of this document can be found in the English language Abstract submitted herewith.

DE 3519463 A1 - An abstract of the disclosure of this document can be found in the English language Abstract submitted herewith.

WO 01/74318 A2 - An English language abstract of this foreign language document can be found on the title page of this document.

Applicant has enclosed a copy of the PCT Search Report for the Examiner's consideration.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the U.S. Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

 #37,929

Dated: November 7, 2002

By: 

Anthony C. Tridico
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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Services under 37 C.F.R. § 1.8 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

on November 7, 2002
Date

Christine Doyle
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